

Financial Services Guide

Fortune Prime Limited

Trading as Fortune Prime Global (FPG)

Company Number 700507

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1. Financial Services Guide

- 1.1. This Financial Services Guide (FSG) is provided to you by Fortune Prime Limited (Fortune Prime Global, FPG, we, our, us) to inform you of the financial services we provide.
- 1.2. It is designed to help you to decide whether or not to use any of the services we offer in this FSG.
- 1.3. This FSG has been prepared to give you general information about the services and products we offer before you can make a decision to invest. It contains information of who we are, any associations or relationships we may have with financial product issuers, details of any potential conflicts of interest, our internal and external dispute resolution procedures and how you can access them, information on how we handle your personal information, and how we can be contacted.

2. Business Description

- 2.1. Fortune Prime Limited is incorporated in Vanuatu and regulated by the Vanuatu Financial Services Commission (VFSC) as an authorised securities dealer, (company number 700507) to provide execution only brokerage services to retail clients. FPG does not provide any personalized investment advice or recommendations as to any investment.
- 2.2. FPG's management has extensive experience working in the foreign exchange, derivative markets and risk management.
- 2.3. To provide best-of-class trading experience, FPG provides high-end technology products that utilize fast executions, low spreads, and unmatched customer-service.

Fortune Prime Limited	
Registered name	Fortune Prime Limited Fortune Prime Limited trading as Fortune Prime Global (FPG)
Postal address	1276, Govant Building, Kumul Highway, Port Vila, Vanuatu
VFSC	700507
Email	compliance@fortuneprime.com

Web	Fortuneprime.com
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3. Product Disclosure Statement and other documents

PDS contains information about the relevant FPG Products, including risks and benefits, costs, fees and charges, how to trade in FPG Products, dispute resolution, significant characteristics and features of FPG Products. PDS is available from our website or by phoning us. This FSG and PDS are important documents and should be read in their entirety before deciding whether to acquire or to continue to hold the relevant FPG Products. You should keep this FSG, the relevant PDS, all other legal documents posted on our website for future reference. Before you can open an account with us to trade FPG Products, you must have read the relevant FPG Documents.

4. What other documentation might you receive?

- 4.1. If you acquire a financial product through us, you may also receive a Product Disclosure Statement (PDS) prepared by a product issuer which contains information about the particular product, including any relevant terms, significant risks and details of other fees and charges which may apply. This document will be provided to assist you in making an informed decision about that product.
- 4.2. Regular updates, marketing materials, research reports, PDS or offer documents and website content are not personal advice. FPG may collect personal or investment information from you to identify you, for marketing purposes or to administer your account. Collection of personal information is not to be implied that personal advice is given. How your personal information is handled and protected is further expanded in this FSG, and a copy of our Privacy Policy is available by contacting us, or can be found on our website.

5. Who are we and what services are we authorised to provide?

- 5.1. Fortune Prime Limited is a company registered in Vanuatu (Company number 700507) and is regulated by the VFSC. Services are provided from Vanuatu.
- 5.2. FPG is authorised under its VFSC Licence to:
 - issue and otherwise trade in derivatives such as FPG Products;
 - make a market in FPG Products and other derivatives; and
 - give general advice about FPG Products and other derivatives.
- 5.3. In the provision of financial services, general advice and personal advice have special meanings and may differ from what you commonly understand. General advice can include direct or

implied recommendations about financial products or services, whether general or for a class or kind of investor, and does not take into account your particular circumstances. If you require personal advice specific to your particular financial situation, objectives or needs, you will need to speak to your financial adviser.

- 5.4. FPG's policy is to not give personal advice.
- 5.5. General advice includes advice based on consideration of the investment alone (including by reference to current market conditions). Any such advice is provided without any express or implied recommendation that is appropriate for you in light of your personal circumstances. We only provide general advice for educational purposes, and this should not be construed as tax, legal or investment advice.
- 5.6. When you speak with us, you are dealing with one of FPG's employees. FPG is therefore responsible to you for any general advice given to you. We are authorised to provide these financial services to retail and wholesale clients.

6. What fees and commissions do you pay?

- 6.1. FPG will not charge any specific fee for giving you information and general advice about FPG Products.
- 6.2. The fees in this section apply where you trade directly with FPG. FPG provides CFD products that reflect the price movement of underlying financial assets such as forex, indices, commodities and crypto currencies. FPG acts as the counterparty to your CFD trades, and may charge fees and commissions as a result of the provision of CFD trading services. All fees, costs and charges and other amounts payable are subject to change from time to time. Please refer to our PDS online for more details about the fees, commissions and charges associated with FPG Products.

7. Interest / Client Money

- 7.1. FPG is solely entitled to any interests or earnings derived from your money being deposited in our client trust account. Such interests or earnings may be payable to us from the relevant trust account or depository account. Upon realization of an investment of your funds, the initial capital invested must either be invested in another investment or deposited by us into the client trust account. In the event that the amount received upon realization of an investment of your funds is less than the initial capital invested, we must pay an amount equal to the difference into the client trust account for your benefit, except where any such difference is the result of amounts paid out of the investment to us. We will not charge a fee for investing the money in the client trust account.

8. Income

- Spread
- Holding costs

- Commission

- 8.1. The calculation of the price to be paid (or the payout to be received) for Margin FX Contract, at the time the Contract is opened or closed, will be based on market prices available at the time and the expected level of interest rates, implied volatilities and other market conditions during the life of the financial contract and is based on a complex arithmetic calculation. The calculation may include a spread markup in favor of FPG. Spreads will differ depending on the currency pairs traded.
- 8.2. The spreads we quote are determined by our liquidity provider. Spread means the difference between the bid price (price offered) and the ask price (price requested) for the base currency expressed against the terms currency. The spread is incorporated into the price of the currency pair quoted to you and is not an additional fee or charge payable by you. FPG earns its income from rebates derived from the spread in the prices of the base currencies. In other words, we add an amount to the market ask price when you open a Long Margin FX Contract position and subtract an amount from the market bid price when you open a Short Margin FX position.
- 8.3. This means you pay more to buy a currency and receive less when you sell a currency. The spreads we quote are a number of Pips between the bid price and the ask price. A pip is the last decimal place to which an exchange rate is quoted.
- 8.4. You will be charged a “holding cost ” fee with respect to Spot and Forward transactions. As long as the deal remains open, there is a “holding cost” charge which represents the interest rate swap and a finance rate, which is determined by the currency pair being traded. Swap rates are indicative rates and are subject to change based upon market volatility. They can change daily and are published by FPG within the trading platform. Clients are able to check the Swap rate / Rollover rate by opening the trading platform and selecting Market Watch, right clicking and selecting Symbols.
- 8.5. FPG may charge a Transaction Fee (which may be described as “commission” on the platform) on each FPG Product that is executed. Our rates vary depending on the type and level of service required, and the frequency and size of Transactions.
- 8.6. The Transaction Fee that you will be actually charged is disclosed on your statement.
- 8.7. The fee accrues immediately upon execution of the Transaction, i.e., when you Open or Close the FPG Product. The Transaction Fee will either be reflected in the execution price as an additional component to the spread pricing or separately, i.e., not included in the spread pricing.
- 8.8. Where your business has been referred to FPG, FPG may be required to share a percentage of the commission or other charge with the referring party.

9. Details of Associations or Relationships

- 9.1. FPG has a relationship with its nominated Execution and Clearing Broker. These relationships in no way influence the provision of financial services by FPG
- 9.2. FPG has a relationship with its Liquidity Providers and Prime Brokers. These relationships may influence the information provided to clients.

10. Privacy Policy

The FPG Privacy Statement ('Privacy Statement') explains how we collect personal information about you and how we maintain, use and disclose that information. All information that FPG collects about you will be treated in accordance with our Privacy Statement. The Privacy Statement can be found online at www.fortuneprime.com

- 10.1. We collect your personal information through:
 - 10.1.1. Direct interactions with you. For example, when you submit information contained in documents, messages, comments, text and images that you upload to FPG's website or transmit through other Services that we provide;
 - 10.1.2. Automated technologies or interactions. For example, as you interact with our Services, submit financial or transactional data such as billing address and other payment details; and
 - 10.1.3. Third parties. For example, we may receive contact details from an existing customer who invites you to use a Service or through a referral arrangement with our business partners.
- 10.2. We do not disclose any non-public, personal information about our customers, former customers, or potential customers to anyone, except as required by law or as necessary to protect our users and services.

We may contact you from time to time with promotional and marketing material via phone, email and mail. If at any time you do not wish to receive marketing material please contact us and we will remove you from our lists.

11. What should I know about the risks of trading FPG

Products?

You should carefully consider whether trading in FPG Products is appropriate for you in the light of your financial circumstances. In deciding whether or not you wish to become involved in trading in any Products with FPG, you should be aware that FPG Products are speculative products. CFDs are highly leveraged and carry significantly greater risk than non-leveraged investments such as share trading, and you could both gain and lose large amounts of money. You may sustain losses in excess of the margin required to establish and maintain a CFD position with FPG. In certain circumstances, FPG may exercise its discretion to close out your position. You should not invest in Products unless you understand and are comfortable with the risks of trading in Products. You should refer to the relevant section of each respective applicable PDS for further details

12. If you have any complaints

FPG is committed to providing a high standard of service to its customers. If you have a query about the quality or level of service, or if we have failed to meet your expectations, we would like to hear from you.

You can make a complaint verbally by calling us or write to us via email or post, through the details provided below. You may also appoint a representative to lodge a complaint with us, on your behalf.

Email: compliance@fortuneprime.com

Telephone: +442081446588

Initially, all complaints will be handled and investigated internally. Should you feel dissatisfied with the outcome, you have the ability to engage legal counsel.

If you are dissatisfied with the outcome, you may contact the Vanuatu Financial Services Commission. Guidance for addressing complaints against a Financial Dealers notes are available on the VFSC website (www.vfsc.vu) Financial Dealers section.

13. Contact Details

10.3. Fortune Prime Limited

Govant Building, PO Box 1276, Port Vila, Vanuatu

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